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Signature	<i>Dave Glisson</i>	Date	September 12, 2000

# UTILITY PATENT APPLICATION TRANSMITTAL


(Only for new nonprovisional applications under 37 CFR 1.53(b))

Atty Docket No.	LIFE-007
First Named Inventor	Tianmei Ouyang
Title:	TEST STRIPS FOR DETECTING THE PRESENCE OF A REDUCED COFACTOR IN A SAMPLE AND METHODS FOR USING THE SAME

APPLICATION ELEMENTS		Commissioner for Patents Box Patent Application Washington, D.C. 20231	
See MPEP chapter 600 concerning utility patent application contents		Address to:	
1. <input checked="" type="checkbox"/> Fee Transmittal Form	5. <input type="checkbox"/> Microfiche Computer Program ( <i>Appendix</i> )		
2. <input checked="" type="checkbox"/> Specification Total Pages <u>21</u> (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies		
- Background of the Invention		ACCOMPANYING APPLICATION PARTS	
- Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets <u>1</u>	8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)		
4. <input checked="" type="checkbox"/> Oath or Declaration Total Sheets <u>3</u> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) c. <input type="checkbox"/> Unsigned	9. <input type="checkbox"/> English Translation Document (if applicable)		
	10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
	11. <input type="checkbox"/> Preliminary Amendment		
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
	13. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application Statement(s) Status still proper and desired		
	14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		
	15. <input type="checkbox"/> Other:		
16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____			

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new non-provisional applications under 37 CFR 1.53(b))

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<b>Date</b>	9.12.00

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# FEE TRANSMITTAL

Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.

Attorney Docket Number	LIFE-007
First Named Inventor	Tianmei Ouyang
Application Number	N/A
Filing Date	Herewith
Examiner Name & Group Art Unit	N/A
Title	Test Strips For Detecting The Presence Of A Reduced Cofactor In A Sample And Methods For Using The Same

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815. Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$690
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
				Subtotal (1)	\$690

### 2. CLAIMS

No. of claims as filed or after amendment			Claims Previously Paid		Extra claims		Fee from below 1		Fee Due
Total claims	27	-	20	=	7	x	18	=	\$126
Ind. claims	5	-	3	=	2	x	79	=	\$158
Multiple Dependent claims						x		=	
Large Fee Code	Entity Fee (\$)		Small Fee Code		Entity Fee (\$)		Fee Description		
103	18		203		9		Claims in excess of 20		
102	78		202		39		Independent claims in excess of 3		
104	260		204		130		Multiple dependent claim		

Subtotal (2) \$284

### 3. ADDITIONAL FEES


Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Due	Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification		147	2,520	147	2,520	Filing a request for reexamination	
115	110	215	55	Ext. for reply within first month		116	380	216	190	Ext. for reply within second month	
117	870	217	435	Ext. for reply within third month		118	1,360	218	680	Ext. for reply within fourth month	
128	1,850	228	925	Ext. for reply within fifth month		119	300	219	150	Notice of Appeal	
120	300	220	150	Filing brief in support of appeal		121	260	221	130	Request for oral hearing	
140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)		122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to prov. appl.		126	240	126	240	Submission of IDS	
143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee	
581	40	581	40	Recording patent assignment	40	Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40

TOTAL AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT 50-0815

(\$1,014.00)

Submitted by (Typed Name)	Bret E. Field	BOZICEVIC, FIELD & FRANCIS LLP			
Signature		Date	9.12.00	Reg. Number	37,620

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Atty Docket No. LIFE-007

Date of Deposit September 12, 2000

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Dave Glisson

Typed or Printed Name of Person Mailing Paper or Fee

Dave Blissen

Signature of Person Mailing Paper or Fee

# PATENT APPLICATION

# TEST STRIPS FOR DETECTING THE PRESENCE OF A REDUCED COFACTOR IN A SAMPLE AND METHODS FOR USING THE SAME

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## **TEST STRIPS FOR DETECTING THE PRESENCE OF A REDUCED COFACTOR IN A SAMPLE AND METHODS FOR USING THE SAME**

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### INTRODUCTION

#### Field of the Invention

The field of this invention is analyte measurement

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#### Background of the Invention

Analyte measurement in physiological fluids, e.g., blood or blood derived products, is of ever increasing importance to today's society. Analyte detection assays find use in a variety of applications, including clinical laboratory testing, home testing, etc., where the results of such testing play a prominent role in diagnosis and management in a variety of disease conditions. Analytes of interest include alcohol, formaldehyde, glucose, glutamic acid, glycerol, beta-hydroxybutyrate, L-lactate, leucine, malic acid, pyruvic acid, steroids, etc. In response to this growing importance of analyte measurement, a variety of analyte measurement protocols and devices for both clinical and home use have been developed.

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Many of the protocols and devices that have been developed to date employ a signal producing system to identify the presence of the analyte of interest in a physiological sample, such as blood.

While a variety of such signal producing systems have been developed to date for use in the measurement of a wide variety of different analytes, there continues to be a need for the further development of such systems.

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### Relevant Literature

Patent documents of interest include: EP 0 908 453 A1; WO 94/01578 and WO 94/01544.

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### SUMMARY OF THE INVENTION

Test strips and methods for their use in the detection of an analyte in a sample are provided. The subject test strips are characterized by at least including a water soluble tetrazolium salt on a surface of a positively charged substrate. In many embodiments, the water soluble tetrazolium salt is present as part of an analyte oxidizing signal producing system, which system includes one or more of the following additional components: an analyte oxidizing enzyme, e.g., an analyte dehydrogenase or an analyte oxidase; an electron transfer agent; and an enzyme cofactor. Also provided are systems and kits incorporating the subject test strips. The subject test strips, systems and kits find use in the measurement of a wide variety of analytes in a sample, such as a physiological sample, e.g., blood or a fraction thereof, or ISF (interstitial fluid).

### BRIEF DESCRIPTION OF THE FIGURES

Figure 1 provides the results of a 400mg/dl Glucose Test conducted on positively charged and non-charged membranes, using water soluble tetrazolium as indicator according to the subject invention.

### DESCRIPTION OF THE SPECIFIC EMBODIMENTS

Test strips and methods for their use in the measurement of an analyte in a sample are provided. The subject test strips are characterized by at least including a water soluble tetrazolium salt on a surface of a positively charged substrate. In many embodiments, the water soluble tetrazolium salt is present as part of an analyte oxidizing signal producing system, which system includes one or more of the following additional components: an analyte oxidizing enzyme, e.g., an analyte dehydrogenase or an analyte oxidase; an electron transfer agent; and an enzyme cofactor. Also provided are systems and kits incorporating the subject test strips. The subject test strips, systems and kits find use in the

detection of a wide variety of analytes in a sample, such as a physiological sample, e.g., blood or a fraction thereof, or ISF (interstitial fluid).

Before the subject invention is described further, it is to be understood that the invention is not limited to the particular embodiments of the invention described below, as variations of the particular embodiments may be made and still fall within the scope of the appended claims. It is also to be understood that the terminology employed is for the purpose of describing particular embodiments, and is not intended to be limiting. Instead, the scope of the present invention will be established by the appended claims.

In this specification and the appended claims, singular references include the plural, unless the context clearly dictates otherwise. Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood to one of ordinary skill in the art to which this invention belongs.

#### COMPOSITIONS

As summarized above, the subject invention provides compositions for use in detecting a wide variety of analytes in a sample. The compositions include a positively charged substrate and water soluble tetrazolium salt present on the surface of the substrate, typically as a member of an analyte oxidizing signal producing system. The subject compositions are typically present as dry compositions, such as are found in reagent test strips. In particular, the invention provides strips for assaying for a particular analyte in whole blood or a derivative fraction thereof, *e.g.*, glucose, alcohol, glycated proteins, *etc.* In the broadest sense, the reagent test strips include a positively charged substrate and an analyte oxidizing signal producing system present on a surface of the substrate, which system includes a water soluble tetrazolium salt.

The above elements of the subject compositions are now further described in greater detail.

## Positively Charged Substrate

A feature of the subject compositions is the presence of a positively charged substrate. By positively charged substrate is meant a substrate that displays one or more, usually a large plurality of, positive charges, e.g., as found on positively charged groups or moieties, on at least one of its surfaces. The substrate may be fabricated from a single material or may be a composite of two or more different materials, where these different materials may be blended, layered, or otherwise arranged to provide for the desired positively charged surface.

In addition, the positively charged substrate may be bibulous or non-bibulous. By bibulous is meant a material that exhibits preferential retention of one or more components as would occur, for example, in materials capable of absorbing or "imbibing" one or more components, as occurs in chromatographic separations. Examples of bibulous materials include, but are not limited to: untreated forms of paper, nitrocellulose and the like which result in chromatographic separation of components contained in liquids which are passed therethrough.

Alternatively, the positively charged substrate may be non-bibulous. Non-bibulous positively charged substrate include inert porous matrices which provide a support for the various members of the signal producing system, described *infra*, and have a positive charge. These matrices are generally configured to provide a location for application of a physiological sample, e.g., blood, and detection of the chromogenic product produced by the dye of the signal producing system. As such, the matrix is typically one that is permissive of aqueous fluid flow through it and provides sufficient void space for the chemical reactions of the signal producing system to take place. A number of different positively charged porous matrices have been developed for use in various analyte measurement assays, which matrices may differ in terms of materials, pore sizes, dimensions and the like, where representative matrices include those described in U.S. Patent Nos: 55,932,431; 5,874,099; 5,871,767; 5,869,077; 5,866,322; 5,834,001; 5,800,829; 5,800,828; 5,798,113; 5,670,381; 5,663,054; 5,459,080; 5,459,078; 5,441,894 and 5,212,061; the disclosures of which are herein incorporated by reference. The dimensions and porosity of the test strip may vary greatly, where the matrix may or may



not have a porosity gradient, *e.g.*, with larger pores near or at the sample application region and smaller pores at the detection region. Positively charged membranes can be prepared by using positively charged polymers, such as polyamide. Alternatively, such membranes can be prepared by various techniques, such as surface coating using cationic surfactants or polymers. The coating can be applied by dip coating, chemical treatment, photografting, plasma polymerization, etc. In yet other embodiments, the membrane can be prepared by means of blending one or more positively charged materials with the membrane forming polymer. Examples of positively charged polymers are polyamide, poly(vinyl pyridine), poly(vinyl imidazole), poly(allylamine), poly(vinyl benzyldimethyl ammonium chloride), polylysine and chitosan. Examples of cationic surfactants include those containing primary, secondary and quaternary amino groups. The material may or may not be functionalized to provide for covalent or noncovalent attachment of the various members of the signal producing system, described in greater detail *infra*.

In many embodiments, the matrix is configured as a membrane test pad and is affixed to a solid support, where the support may be a plastic (*e.g.*, polystyrene, nylon or polyester) or metallic sheet or any other suitable material known in the art. Of interest in many embodiments are the test strip configurations disclosed in U.S. Patent Nos. 5,972,294; 5,968,836; 5,968,760; 5,902,731; 5,846,486; 5,843,692; 5,843,691; 5,789,255; 5,780,304; 5,753,452; 5,753,429; 5,736,103; 5,719,034; 5,714,123; 383,550; 381,591; 5,620,863; 5,605,837; 5,563,042; 5,526,120; 5,515,170; 367,109; 5,453,360; 5,426,032; 5,418,142; 5,306,623; 5,304,468; 5,179,005; 5,059,394; 5,049,487; 4,935,346; 4,900,666 and 4,734,360, the disclosures of which are herein incorporated by reference.

### *Signal Producing Systems*

As summarized above, a feature of the subject compositions is that they include at least one water soluble tetrazolium salt, which component is typically present in conjunction with one or more members of an analyte oxidizing signal producing system. Specifically, a feature of the subject compositions is the presence of a water soluble tetrazolium salt that is capable of accepting a hydride to product a water soluble, colored formazan product. Water soluble tetrazolium salts of interest include those described in

EP 0 908 453, the disclosure of which is herein incorporated by reference. One class of water soluble tetrazolium salts of interest include those described by formula 2 on page 2, lines 35 to 48 of EP 0 908 453. Another class of water soluble tetrazolium salts of interest include those described by formula 1 on page 3, lines 10-25 of EP 0 908 453.

5           Specific water soluble tetrazolium compounds or salts that are of particular interest include, but are not limited to: 2,2'-dibenzothiazolyl-5,5'-bis[4-di(2-sulfoethyl)carbamoylphenyl]-3,3'-(3,3'-dimethoxy- 4,4'-biphenylene)ditetrazolium, disodium salt (WST-5); 2-benzothiazolyl-3-(4-carboxy-2-methoxyphenyl)-5-[4-(2-sulfoethylcarbamoyl)phenyl]-2H-tetrazolium (WST-4) and the like. WST-5 is preferred in  
10 many embodiments because it readily dissolves in an aqueous medium, which is most compatible with biological samples. Furthermore, the resulting formazan compound exhibits strong spectral absorption at the purple-blue region, thus reducing the need for correcting the background signal from hemoglobin.

As mentioned above, the water soluble tetrazolium salt is typically present as a  
15 member of an analyte oxidizing signal producing system. By signal producing system is meant a collection of two or more compounds or molecules which are capable of acting in concert, when combined, to produce a detectable signal that is indicative of the presence of, and often amount of, a particular analyte in a given sample. The term signal producing system is used broadly to encompass both a mixture of all of the reagent constituents of  
20 the signal producing system as well as a system in which one or more of the reagent constituents are separated from the remainder of the reagent constituents, e.g., as is present in a kit.

As mentioned above, the signal producing system of the subject compositions and test strips is a analyte oxidizing signal producing system. The analyte oxidizing agent is  
25 generally an enzyme that is capable of removing a hydride from the analyte of interest to produce an oxidized form of the analyte. Analyte oxidizing enzymes of interest include analyte oxidases and analyte dehydrogenases. Analyte oxidases of interest include, but are not limited to: glucose oxidase (where the analyte is glucose); cholesterol oxidase (where the analyte is cholesterol); alcohol oxidase (where the analyte is alcohol); bilirubin  
30 oxidase (where the analyte is bilirubin); choline oxidase (where the analyte is choline); formaldehyde dehydrogenase (where the analyte is formaldehyde); glutamate oxidase



and in many embodiments 10,000 or 20,000 daltons or higher. The molecular weight of the high molecular weight electron transfer agent often will not exceed about 100,000 daltons. In many embodiments, the low molecular weight electron transfer agent is a non-proteinaceous compound while the high molecular weight electron transfer agent is a proteinaceous compound. By proteinaceous is meant a polypeptide or polymeric mimetic thereof.

A variety of low molecular weight non-proteinaceous electron transfer agents are of interest. These agents include: flavins such as riboflavin (RBF), alloxazine (ALL) and lumichrome (LC); phenazines such as phenazine, phenazine methosulfate (PMS), phenazine ethosulfate, methoxyphenazine methosulfate and safranine; methyl-1, 4-naphthol (menadione), phenothiazines such as PT and its radical cation, PT<sup>+</sup>, thionin (TH), azure A (AA), azure B (AB), azure C (AC), methylene blue (MB), methylene green (MG) and toluidine blue O (TOL); phenoxazines such as phenoxazine (POA), basic blue 3 (BB3), and brilliant cresyl blue ALD (BCBA), benzo- $\alpha$ -phenazoxonium chloride (Medola's blue); Indophenols such as 2,6-dichlorophenol indophenol (DCIP); and Indamines such as Bindschedler's green and phenylene blue; and the like. Of particular interest in many embodiments are phenazine compounds, e.g. PMS, phenazine ethosulfate, methoxyphenazine methosulfate and safranine, where PMS is the low molecular weight, non-proteinaceous electron transfer agent in many embodiments.

In many embodiments, the high molecular weight proteinaceous electron transfer agent is an enzyme that is capable of oxidizing a reduced cofactor, e.g. NAD(P)H, and concomitantly reducing the tetrazololium salt of the signal producing system. In many embodiments, this electron transfer enzyme is a diaphorase, such as lipoic dehydrogenase, ferredoxin-NADP reductase, lipoamide dehydrogenase, NADPH dehydrogenase, etc. A variety of diaphorases are available and may be employed, where representative commercially available diaphorases that may be present in the subject signal producing systems include bacillus diaphorase, clostridium diaphorase, vibrio diaphorase, porcine diaphorase, and the like.

The signal producing systems described above are generally present in the subject compositions as reagent compositions. In many embodiments the reagent compositions are dry compositions. At a minimum, the subject reagent compositions are ones that

include the water soluble tetrazolium salt. In many embodiments, however, the reagent compositions further include an enzyme cofactor, an analyte oxidizing enzyme and an electron transfer agent, where these components are described above.

## 5 REAGENT TEST STRIPS

Of particular interest in many embodiments of the subject invention are reagent test strips that include the above described compositions and are intended for use in measuring the presence or concentration of an analyte in a sample. In particular, the invention provides dry strips for assaying for a particular analyte in whole blood, e.g.,  
10 beta-hydroxybutyrate, glucose, etc. In the broadest sense, the reagent test strip includes a positively charged solid support and a dry reagent composition present thereon, where the dry reagent composition is made up of all of the reagent compounds necessary to produce a detectable signal in the presence of the analyte of interest. In most embodiments of the  
15 subject invention, the dry reagent composition present on the subject test strip is one that includes the following members: an analyte oxidizing enzyme, an enzyme cofactor, an electron transfer agent and a water soluble tetrazolium salt, where each of these constituent members are described in greater detail above.

In many embodiments, the subject test strips include a membrane test pad that is  
20 affixed to a solid support. The support may be a plastic -- e.g., polystyrene, nylon, or polyester - or metallic sheet or any other suitable material known in the art. Associated with the test pad, e.g., coated onto the test pad, incorporated into the test pad, etc., is the reagent composition. The strip may also be configured in more complex arrangements, e.g., where the test pad is present between the support and a surface layer, where one or  
25 more reagents employed in sample processing may be present on the surface layer. In addition, flow paths or channels may be present on the test strip, as is known in the art. Of interest in many embodiments are the test strip configurations disclosed in U.S. Patent No. 5,902,731, the disclosure of which is herein incorporated by reference.

The subject test strips may be fabricated employing any convenient protocol. One  
30 convenient protocol is to contact at least the test pad portion of the strip with an aqueous composition that includes all of the members of the reagent composition that is to be

associated with the test pad in the final reagent test strip. Conveniently, the test pad may be immersed in the aqueous composition, maintained therein for a sufficient period of time and then dried, whereby the test pad of the reagent test strip which has associated therewith the reagent composition is produced. As stated above, the aqueous composition will include the various members of the reagent composition to be associated with the test pad of the reagent test strip, where the various members are present in amounts sufficient to provide for the desired amounts in the reagent composition that is produced on the test pad. As such, where the electron transfer agent is non-proteinaceous, the concentration of electron transfer agent present in this aqueous composition typically ranges from about 10 to 50,000, usually from about 50 to 10,000 and more usually from about 100 to 5,000  $\mu$ M. In other embodiment where the electron transfer agent is proteinaceous, the concentration of the electron transfer agent present in the aqueous composition typically ranges from about 10 to 10,000, usually from about 50 to 5,000 and more usually from about 100 to 3,000 U/ml. The concentration of tetrazolium salt present in the aqueous composition ranges from about 3 mM to 36 mM, usually from about 6 mM to 24mM. When present, the enzyme cofactor ranges in concentration from about 1.5 mM to 28 mM, usually from about 3.5mM to 14 mM. Similarly, the analyte oxidizing agent enzyme ranges in concentration from about 100 U to 2000 U, and usually from about 200 U to 1000 U when present. See the experimental section, *infra*, for a more detailed description of a representative method for preparing the subject reagent test strips.

#### METHODS OF ANALYTE MEASUREMENT

The above described signal producing systems, reagent compositions and test strips find use in methods of detecting the presence of, and often the amount of, i.e., the concentration of, an analyte in a sample. A variety of different analytes may be detected using the subject methods, where representative analytes include those described above, e.g., alcohol, formaldehyde, glucose, glutamic acid, glycerol, beta-hydroxybutyrate, L-lactate, leucine, malic acid, pyruvic acid, steroids, etc. While in principle, the subject methods may be used to determine the presence, and often concentration, of an analyte in a variety of different physiological samples, such as urine, tears, saliva, and the like, they

are particularly suited for use in determining the concentration of an analyte in blood or blood fractions, e.g., blood derived samples, and more particularly in whole blood, ISF (interstitial fluid).

In the subject methods, the sample and the signal producing system are combined into a reaction mixture, the reaction is allowed to proceed for a sufficient period of time to generate a signal indicative of the presence of (and often amount of) analyte in the sample, and the resultant signal is detected and related to the presence of (and often amount of) analyte in the sample. The above steps take place on a reagent test strip as described *supra*.

A feature of the subject methods is that the detectable signal is made up of a non-washable spot that forms on the surface of the substrate of the strip. The non-washable spot is made up of water soluble formazan product which is tightly bound to the substrate surface such that it cannot be readily removed from the surface under standard washing conditions. By standard washing conditions is meant the conditions experienced by substrate surface in analyte detection assays where unbound component has to be removed from the surface. An example of standard washing conditions are those employed by those of skill in the art in array based nucleic acid hybridization assays, where non-hybridized nucleic acids are removed from the surface of an array following a hybridization step. Such conditions are well known to those of skill in the art. As such, a feature of the subject methods is the production of a non-washable spot on the surface of the positively charged substrate, where the non-washable spot is made up of the water soluble formazan product.

In practicing the subject methods, the first step is to apply a quantity of the physiological sample to the test strip, where the test strip is described *supra*. The amount of physiological sample, e.g. blood, that is applied to the test strip may vary, but generally ranges from about 2 $\mu$ L to 40 $\mu$ L, usually from about 5 $\mu$ L to 20 $\mu$ L. Because of the nature of the subject test strip, the blood sample size that is applied to the test strip may be relatively small, ranging in size from about 2 $\mu$ L to 40 $\mu$ L, usually from about 5 $\mu$ L to 20 $\mu$ L. Where blood is the physiological sample, blood samples of a variety of different hematocrits may be assayed with the subject methods, where the hematocrit may range from about 20% to 65%, usually from about 25% to 60%.

Following application of the sample to the test strip, the sample is allowed to react with the members of the signal producing system to produce a detectable product, i.e., the non-washable spot, that is present in an amount proportional to the initial amount of the analyte of interest present in the sample. The amount of detectable product, i.e., signal  
5 produced by the signal producing system in the form of the non-washable spot, is then determined and related to the amount of analyte in the initial sample. In certain embodiments, automated instruments that perform the above mentioned detection and relation steps are employed. The above described reaction, detection and relating steps, as well as instruments for performing the same, are further described in U.S. Patent Nos.  
10 4,734,360; 4,900,666; 4,935,346; 5,059,394; 5,304,468; 5,306,623; 5,418,142; 5,426,032; 5,515,170; 5,526,120; 5,563,042; 5,620,863; 5,753,429; 5,573,452; 5,780,304; 5,789,255; 5,843,691; 5,846,486; 5,902,731; 5,968,836 and 5,972,294; the disclosures of which are herein incorporated by reference. In the relation step, the derived analyte concentration takes into account the constant contribution of competing reactions to the observed signal,  
15 e.g., by calibrating the instrument accordingly.

#### KITS

Also provided by the subject invention are kits for use in practicing the subject  
20 methods. The kits of the subject invention at least include a signal producing system as described above, where the signal producing system components may be combined into a single reagent composition or separated, e.g., present in separate containers. In certain embodiments, the signal producing system will be present in the kits in the form of a reagent test strip, as described supra. The subject kits may further include a means for  
25 obtaining a physiological sample. For example, where the physiological sample is blood, the subject kits may further include a means for obtaining a blood sample, such as a lance for sticking a finger, a lance actuation means, and the like. In addition, the subject kits may include a control solution or standard, e.g. an analyte control solution that contains a standardized concentration of analyte. In certain embodiments, the kits also include an  
30 automated instrument, as described above, for detecting the amount of product produced on the strip following sample application and relating the detected product to the amount



of analyte in the sample. Finally, the kits include instructions for using the subject kit components in the determination of an analyte concentration in a physiological sample. These instructions may be present on one or more of the packaging, a label insert, containers present in the kits, and the like.

5

The following examples are offered by way of illustration and not by way of limitation.

## EXPERIMENTAL

10

### EXAMPLE 1

15 A 0.8  $\mu$ m nylon membrane obtained from Pall Corporation (East Hills, NY) was dipped into the reagent of Table 1, until saturated. The excess reagent was scraped off gently with a glass rod. The resulting membrane was hung to dry in a 56° C oven for 10 minutes. Porex (0.6 mm thick) was soaked in the nitrite solution of Table 2 and then hung to dry in a 100° C oven for ten hours. Finally, the membrane was laminated between a polyester stock (0.4 mm Melenex® polyester from ICI America, Wilmington, DE) and the  
20 nitrite-impregnated Porex.

25

### EXAMPLE 2

The procedure of Example 1 was repeated, except that the first dip was the reagent of Table 3, and there was no second dip, since the Porex was not needed.

30

**Table 1. Reagent for a Glucose Test Pad**

Components	Quantity
Water	100 ml
(2-[-Morpholino]ethanesulfonic acid) sodium salt MES (MW 217.2, Sigma, St. Louis, MO, USA) Adjust pH to 5-7 by adding 6 M HCl)	2.2 gm
Tetonic 1307 (BASF Corporation, Mount Olive, New Jersey, USA)	1-3 gm
PSSA, Polystyrenesulfonic acid, sodium salt (MW 70,000, Polysciences, Inc., Warrington, PA, USA)	2-4 gm
Croton (Croda Inc., Parsippany, NJ, USA)	2-4 gm
Mannitol (MW 182, Sigma, St. Louis, MO, USA)	1-10 gm
Phenazine Methosulfate (PMS, MW 306.34, Sigma, St. Louis, MO, USA)	30-300 mg
WST-5 (MW 1331.37, Dojindo Laboratory, Japan)	0.8-4 gm
Glucose Oxidase (GO, TOYOBO)	100-1000KU

5

**Table 2. Nitrite Reagent**

Components	Quantity
10 mM Phosphate Buffer Saline, pH7.4, (P-3813, Sigma, St. Louis, MO, USA)	70 ml
Ethanol	30 ml
Sodium Nitrite (MW69, Aldrich Chemicals, Milwaukee, WI, USA)	5 gm
Polyvinylpyrrolidone (MW 40,000, Sigma, St. Louis, MO, USA)	200 mg

10 **Table 3. Reagent for a Glucose Test Pad**

Components	Quantity
Water	100 ml
(2-[-Morpholino]ethanesulfonic acid) sodium salt MES (MW 217.2, Sigma, St. Louis, MO, USA)	2.2 gm
Poly(methyl vinyl ether- alt-maleic anhydride)* 6%	20 mL
Adjust pH to 5.5-7 by adding 50% NaOH	
Triton X-305 (BASF Corporation, Moun Olive, New Jersey, USA)	0.5-2 gm
Mannitol (MW 182, Sigma, St. Louis, MO, USA)	1-10 gm
Sodium Nitrite (MW69, Aldirch Chemicals, Milwaukee, WI, USA)	1-5 gm
WST-5 (MW 1331.37, Dojindo Laboratory, Japan)	0.8-4 gm
Magnesium Chloride (MW 203, Sigma, St. Louis, MO, USA)	3-5 gm
Phenazine Ethosulfate (PES, MW 334.4, Sigma, St. Louis, MO, USA)	100-1000 mg
Glucose Oxidase (GO, TOYOBO)	100-1000KU

\* Poly(methylvinylether-alt-maleic anhydride), MW 1,080,000, Cat# 41632-0, Aldrich Chemicals, Milwaukee, WI, USA) Weigh out Poly(methylvinylether-alt-maleic anhydride) 6% in water (w/v), and heat the suspension to 95 C for 45 min. The resulting solution is ready to use upon cooling to room temperature.

5

Various glucose standards were tested on the non-charged and positively charged membranes. The signals were linear from 50 to 450 mg/dl glucose levels in blood. Figure 1 shows the same dip was coated on different membrane. One is positive charged nylon membrane, one is no positive charged polysulfone membrane. The coated membrane was tested by 400mg/dl glucose.

Using the following protocol, 10 µL of aqueous samples comprising 400mg/dL glucose were tested on strips as described above, where the membrane of the strips varied in terms of the positively charged nylon membrane and (no positive charged)(non - charged)polysulfone membrane on the strip. A 10 µl aqueous sample was applied onto a freshly prepared test strip. The strip was inserted into a reflectometer and data acquisition was commenced. The relectance of the reading strip was monitored at 615 nm at one-second intervals for forty five seconds. Next, the data were uploaded from the reflectometer's memory buffer to a personal computer via a modified serial cable. The reaction profile was plotted by K/S versus seconds. (K/S is a measure of reflectance, discussed and defined in USP 4,935,346, col. 14, the disclosure of which is herein incorporated by reference.)

It is evident from the above results and discussion that the subject invention provides for improvement over previous reagent test strip formats. By using a water soluble tetrazolium salt in combination with a positively charged substrate, the subject invention is the beneficiary of all of the positive attributes of tetrazolium compounds and is able to produce a non-washable reporter signal from the resultant water soluble formazan product. As such, the subject invention represents a significant contribution to the art.

All publications and patents cited in this specification are herein incorporated by reference as if each individual publication or patent were specifically and individually



WHAT IS CLAIMED IS:

1. A composition of matter comprising:  
a positively charged substrate; and at least one  
5 water soluble tetrazolium salt on at least one surface of said positively charged  
substrate.
2. The composition according to Claim 1, wherein said positively charged substrate  
is a bibulous substrate.
- 10 3. The composition according to Claim 1, wherein said positively charged substrate  
is a non-bibulous substrate.
4. The composition according to Claim 1, wherein said water soluble tetrazolium salt  
15 is part of an analyte oxidizing signal producing system.
5. The composition according to Claim 4, wherein said analyte oxidizing signal  
producing system comprises an analyte oxidase.
- 20 6. The composition according to Claim 4, wherein said analyte oxidizing signal  
producing system comprises an analyte dehydrogenase.
7. The composition according to Claim 4, wherein said analyte oxidizing signal  
producing system further comprises an electron transfer agent.
- 25 8. The composition according to Claim 4, wherein said analyte oxidizing signal  
producing system further comprises an enzyme cofactor.
9. The composition according to Claim 4, wherein said analyte oxidizing signal  
30 producing system is present as a reagent composition.

10. A reagent test strip comprising:  
a positively charged substrate; and  
an analyte oxidizing signal producing system present on said positively charged substrate, wherein said analyte oxidizing signal producing system includes a water  
5 soluble tetrazolium salt.

11. The test strip according to Claim 10, wherein said positively charged substrate is bibulous.

10 12. The test strip according to Claim 10, wherein said positively charged substrate is non-bibulous.

13. The test strip according to Claim 10, wherein said water soluble tetrazolium salt accepts a hydride to produce a water soluble formazan product.

15 14. The test strip according to Claim 10, wherein said analyte oxidizing signal producing system comprises an analyte oxidase.

15 15. The test strip according to Claim 14, wherein said analyte oxidizing signal producing system further comprises an electron transfer agent.

16. The test strip according to Claim 14, wherein said analyte oxidizing signal producing system further comprises an enzyme cofactor.

25 17. The test strip according to Claim 10, wherein said analyte oxidizing signal producing system is a glucose oxidizing signal producing system.

18. An analyte detection or measurement system comprising:  
(a) a reagent test strip comprising:  
30 (i) a positively charged substrate; and

(ii) an analyte oxidizing signal producing system present on said substrate, wherein said signal producing system includes a water soluble tetrazolium salt capable of accepting a hydride to produce a water soluble formazan; and

5 (b) an automated instrument.

19. A method for detecting the presence or determining the concentration of an analyte in a sample, said method comprising:

(a) applying said physiological sample to a reagent test strip comprising:

10 (i) a positively charged substrate; and

(ii) an analyte oxidizing signal producing system present on said substrate, wherein said signal producing system includes a water soluble tetrazolium salt capable of producing a water soluble formazan product, whereby a non-washable spot comprising said formazan product is produced on said substrate;

15 (b) detecting said non-washable spot; and

(c) relating said detected non-washable spot to the presence or concentration of said analyte in said physiological sample.

20 20. The method according to Claim 19, wherein said signal producing system further comprises an analyte oxidase.

21. The method according to Claim 20, wherein said signal producing system further comprises at least one of an electron transfer agent .

25

22. The method according to Claim 19, wherein said sample is whole blood or a derivative thereof.

23. The method according to Claim 19, wherein said detecting and relating steps are carried out by an automated instrument.

30

24. A kit for use in determining the concentration of an analyte in a physiological sample, said kit comprising:

(a) a reagent test strip comprising:

(i) a positively charged substrate; and

(ii) an analyte oxidizing signal producing system present on said substrate, wherein said signal producing system includes a water soluble tetrazolium salt capable of producing a water soluble formazan product; and

(b) at least one of:

(i) a means for obtaining said physiological sample and

(ii) an analyte standard.

25. The kit according to Claim 24, wherein said means for obtaining said physiological sample is a lance.

26. The kit according to Claim 24, wherein said analyte standard comprises a standardized concentration of a known reagent.

27. The kit according to Claim 24, wherein said kit comprises a means for obtaining said physiological sample and an analyte standard.



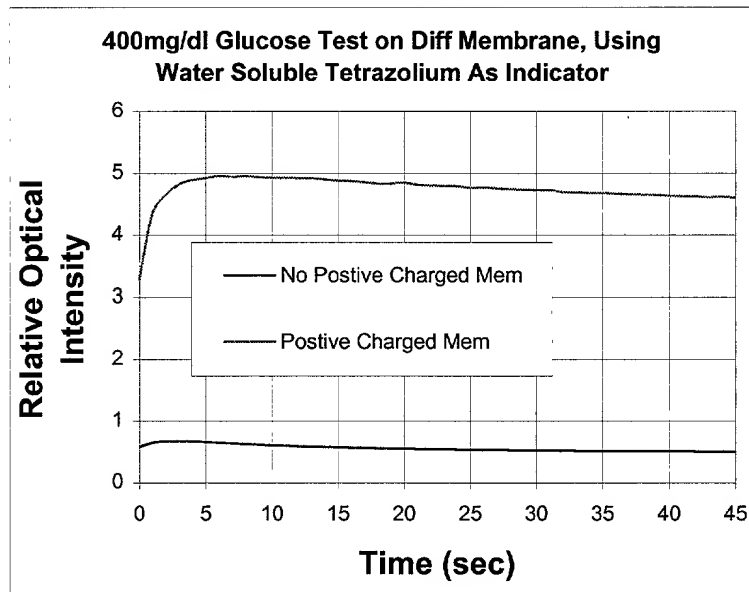
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5

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FIGURE 1

5



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **TEST STRIPS FOR DETECTING THE PRESENCE OF A REDUCED COFACTOR IN A SAMPLE AND METHODS FOR USING THE SAME**, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119	
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
Application Serial No.

\_\_\_\_\_  
Filing Date

\_\_\_\_\_  
Status

\_\_\_\_\_  
Application Serial No.

\_\_\_\_\_  
Filing Date

\_\_\_\_\_  
Status

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith as well as to file equivalent patent applications in countries foreign to the United States including the filing of international patent applications in accordance with the Patent Cooperation Treaty: Audley A. Ciamporzero, Jr. (Reg. #26,051), Steven P. Berman (Reg. #24,772), Mark Warfield (Reg. #33,463), and James Riesenfeld (Reg. #29,429) One Johnson & Johnson Plaza, New Brunswick, NJ 08933, as well as Karl Bozicevic (Reg. No. 28,807) and Bret Field (Reg. No. 37,620), 200 Middlefield Road, Suite 200, Menlo Park CA 94025.

Address all telephone calls to Bret Field at telephone no. (650) 327-3400.

Address all correspondence to Bret Field, Bozicevic, Field & Francis LLP, 200 Middlefield Road, Suite 200, Menlo Park CA 94025.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information

Table 1. Demographic characteristics of the study population	
Age (years)	45.5 ± 10.5
Gender	
Male	100 (50.0%)
Female	100 (50.0%)
Marital status	
Married	100 (50.0%)
Single	100 (50.0%)
Education level	
High school or above	100 (50.0%)
Below high school	100 (50.0%)
Occupation	
White collar	100 (50.0%)
Blue collar	100 (50.0%)
Unemployed	100 (50.0%)
Income (USD/month)	
< 1000	100 (50.0%)
1000-2000	100 (50.0%)
> 2000	100 (50.0%)
Health status	
Good	100 (50.0%)
Poor	100 (50.0%)
Smoking status	
Smoker	100 (50.0%)
Non-smoker	100 (50.0%)
Alcohol consumption	
Regular	100 (50.0%)
Occasional	100 (50.0%)
Never	100 (50.0%)
Family size	
1-2	100 (50.0%)
3-4	100 (50.0%)
5 or more	100 (50.0%)
Health insurance	
Yes	100 (50.0%)
No	100 (50.0%)
Chronic diseases	
Hypertension	100 (50.0%)
Diabetes	100 (50.0%)
Heart disease	100 (50.0%)
Stroke	100 (50.0%)
Other	100 (50.0%)
Medication use	
Yes	100 (50.0%)
No	100 (50.0%)
Stress level	
High	100 (50.0%)
Low	100 (50.0%)
Sleep quality	
Good	100 (50.0%)
Poor	100 (50.0%)
Dietary habits	
Healthy	100 (50.0%)
Unhealthy	100 (50.0%)
Exercise frequency	
Regular	100 (50.0%)
Occasional	100 (50.0%)
Never	100 (50.0%)
Work-life balance	
Good	100 (50.0%)
Poor	100 (50.0%)
Life satisfaction	
High	100 (50.0%)
Low	100 (50.0%)
Overall health score	
1-5	100 (50.0%)
6-10	100 (50.0%)
11-15	100 (50.0%)
16-20	100 (50.0%)
21-25	100 (50.0%)
26-30	100 (50.0%)
31-35	100 (50.0%)
36-40	100 (50.0%)
41-45	100 (50.0%)
46-50	100 (50.0%)
51-55	100 (50.0%)
56-60	100 (50.0%)
61-65	100 (50.0%)
66-70	100 (50.0%)
71-75	100 (50.0%)
76-80	100 (50.0%)
81-85	100 (50.0%)
86-90	100 (50.0%)
91-95	100 (50.0%)
96-100	100 (50.0%)

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